

Page 1 of 2

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS PO. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/790,253

03/01/2004

B. Hilary Aguera Y Arcas

489/2

27538 KAPLAN & GILMAN , L.L.P. 900 ROUTE 9 NORTH WOODBRIDGE, NJ 07095 CONFIRMATION NO. 9803
FORMALITIES LETTER
OC000000012731438

Date Mailed: 05/20/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

07/26/2004 EEKUBAY1 00000018 10790253

01 FC:2001 385.00 OP 02 FC:2051 65.00 OP 03 FC:2202 333.00 OP 04 FC:2201 258.00 OP FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
 Applicant must submit \$ 385 to complete the basic filing fee for a small entity.
- The oath or declaration is missing.
- A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

Additional claim fees of \$736 as a small entity, including any required multiple dependent claim fee, are
required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$1186 for a Small Entity

- \$385 Statutory basic filing fee.
- \$65 Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is \$736

■ \$258 for 6 independent claims over 3.

• \$333 for 37 total claims over 20.

• \$145 for multiple dependent claim surcharge.

Replies should be mailed to: Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

PTO/SB/21 (02-04)

,	U.S. Pater	Approved for use through 07/31/2006. OMB 0651-0031 at and Trademark Office; U.S. DEPARTMENT OF COMMERCE								
Under the Paperwork Reduction Act of 1995, no	Application Number	on of information unless it displays a valid OMB control number. 10/790,253								
TRANSMITTAL	Filing Date	03/01/04								
FORM	First Named Inventor	Blaise Hilary Aguera Y Arcas								
(to be used for all correspondence after initial filin	ag) Art Unit	2671								
	Examiner Name	Not yet assigned								
Total Number of Pages in This Submission 8	Attorney Docket Number	489/2								
ENCLOSURES (Check all that apply)										
Fee Transmittal Form Fee Attached Amendment/Reply After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53	Drawing(s) Licensing-related Papers Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Addr Terminal Disclaimer Request for Refund CD, Number of CD(s) Remarks	After Allowance communication to Technology Center (TC) Appeal Communication to Board of Appeals and Interferences Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s) (please Identify below): Return postcard and Declaration and Power of Attorney; Notice to File Missing Parts.								
SIGNATU	JRE OF APPLICANT, ATTORN	EY, OR AGENT								
Firm Jeffrey I. Kaplan (Reg. No. 3 Individual name	14,356) Ac M MM									
Signature	1914 Role									
Date July 20, 2004										
CEF	RTIFICATE OF TRANSMISSION	N/MAILING								
I hereby certify that this correspondence is being sufficient postage as first class mail in an envelopment of the date shown below.	ng facsimile transmitted to the USPTO o lope addressed to: Commissioner for Pa	r deposited with the United States Postal Service with tents, P.O. Box 1450, Alexandria, VA 22313-1450 on								
Typed or printed name Jeffrey I. Kaplan	WINI A									
Signature	My July	Date July 20, 2004								
This call of the formation is provided by 27 CED 1	The information is required to obtain or retain	ain a benefit by the public which is to file (and by the USPTO to								

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/17 (10-03)

Approved for use through 07/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Papework Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL				
for FY 2004				
101 F 1 2004	F			

Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

SUBMITTED BY

Name (Print/Type)

Signature

Jeffrey I. Kaplan

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Complete if Known					
Application Number	10/790,253				
Filing Date	03/01/04				
First Named Inventor	Blaise Hilary Aguera Y Arcas				
Examiner Name	Not yet assigned				
Art Unit	2671				
Attorney Docket No.	489/2				

(Complete (if applicable))

Date

Telephone 732-634-7634

July 20, 2004

METHOD OF PAYMENT (check all that apply)		FEE CALCULATION (continued)					
				3. ADDITIONAL FEES			
Deposit Account:		Large l	Entity	Small	Entity		
	Account:	Fee Code	Fee (\$)		Fee (\$)	Fee Description	Fee Paid
Deposit Account	11-0223	1051	130	2051	• • •	Surcharge - late filing fee or oath	65-
Number Deposit	W. J. 0.031	1052	50	2052		Surcharge - late provisional filing fee or	
Account Name	Kaplan & Gilman, LLP			1		cover sheet	
The Director is authorized to: (check all that apply)		1053	130	1053		Non-English specification For filing a request for ex parte reexamination	
Charge fee(s) indicated below Credit any overpayments			2,520	1812	_,	Requesting publication of SIR prior to	
Charge any additional fee(s) or any underpayment of fee(s)		1804	920*	1804	920	Examiner action	
Charge fee(s) indicated below, except for the filing fee	1805	1,840*	1805	1,840*	Requesting publication of SIR after	
to the above-ide	entified deposit account.	4054	440	0054		Examiner action	
	FEE CALCULATION	1251	110	2251	55 210	Extension for reply within first month Extension for reply within second month	
1. BASIC FI	LING FEE	1252	420	2252		• • • • • • • • • • • • • • • • • • • •	
Large Entity S		1253	950	2253		Extension for reply within third month	
	Fee Fee Fee Paid Code (\$)		1,480	2254	740	Extension for reply within fourth month	
	2001 385 Utility filing fee 385-	1255	2,010	2255	1,005	Extension for reply within fifth month	
1002 340	2002 170 Design filing fee	1401	330	2401		Notice of Appeal	
1003 530	2003 265 Plant filing fee	1402	330	2402		Filing a brief in support of an appeal	
1004 770	2004 385 Reissue filing fee	1403	290	2403	145	Request for oral hearing	
1005 160	2005 80 Provisional filing fee	1451	1,510	1451	•	Petition to institute a public use proceeding	
·	SUBTOTAL (1) (\$) 385-	1452	110	2452	55	Petition to revive - unavoidable	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE		1453	1,330	2453	665	Petition to revive - unintentional	
2. EXTRA C	Fee from	1501	1,330	2501		Utility issue fee (or reissue)	
Tatal Olahar	Extra Claims below Fee Paid 45 -20** = 37 x 9- = 333-	1502	480	2502		Design issue fee	
Total Claims Independent		1503	640	2503		Plant issue fee	
Claims Multiple Deper		1460	130	1460		Petitions to the Commissioner	
		1807	50	1807		Processing fee under 37 CFR 1.17(q)	
Large Entity Fee Fee	Small Entity Fee Fee Fee Description	1806	180	1806		Submission of Information Disclosure Stmt	
Code (\$)	Code (\$)	8021	40	802	1 40	Recording each patent assignment per property (times number of properties)	40-
1202 18	2202 9 Claims in excess of 20	1809	770	2809	385	Filing a submission after final rejection	
1201 86	2201 43 Independent claims in excess of 3					(37 CFR 1.129(a))	
1203 290	2203 145 Multiple dependent claim, if not paid	1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))	
1204 86	2204 43 ** Reissue independent claims over original patent	1801	770	2801	385	Request for Continued Examination (RCE)	
1205 18	2205 9 ** Reissue claims in excess of 20	1802	900	1802		Request for expedited examination	
1200 10	and over original patent			ı		of a design application	
1	SUBTOTAL (2) (\$) 736.00		fee (sp				
**or number	r previously paid, if greater; For Reissues, see above	*Red	uced by	/ Basic	Filing F	subtotal (3) (\$) 105.0	00

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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Registration No.

34,356